

**EXETER CITY COUNCIL  
AUDIT AND GOVERNANCE COMMITTEE**

**PROGRESS OF 2013/14 AUDIT PLAN AS AT 31/12/13**

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

**Leader**

'A stronger city'

People Management	30	40.5	Completed	↑	Some Improvement required	1	4	15
External Funding & Grants	10	3.7	Completed	↔	Good	0	2	0

**Enabling Services**

'A well run Council'

'Maintain our property assets of the city'

Main Accounting 2013-14 - Budget Setting & VAT - System Management - Year end processes	25	11.5	Completed Start Q4 Start Q1 14/15	↔	Good	0	1	2
Income Management	22	11.5	In progress					
Creditors Quarter 1	55	35.5	Completed	↑	Some Improvement required	0	5	7
Quarter 2			Completed	↑	Good	1	1	2
Debtors	12	14.5	Start Q4					
Insurance	5	1.5	Start Q4					
ICT - Operations Management  - System Security	40	26.5	Completed  Deferred due to changes in service provision	No previous report	Significant improvement required	1	12	3
Business Continuity	10	1.0	Start Q4					
Risk Management	10	4.1	Start Q4					
Contracts and Procurement	30	14.0	Ongoing					
Facilities Management (Guildhall Private Hire)	7	8.7	Completed	No previous report	Some improvement required	0	8	3
Counter Fraud/Anti-Fraud	20	10.1	In progress					

Corporate governance	15	7.8	In progress					
Information Governance	15	4.1	Ongoing					
External Audit & NFI	5	9.6	Completed	↔	Good	0	1	5

### Housing and Customer Access

'Provide suitable housing'

'Be a good landlord'

'Help me with my financial problem'

'Make it easy for me to pay you'

Housing	68	62.2						
- Right to Buy			Completed	↓	Some Improvement required	1	6	7
- Home Contents Insurance			Completed	No previous report	Good	0	3	2
- Voids Process			In progress					
Housing Benefits	60	42.2						
- Welfare Support payments			Completed	No previous report	Some improvement required	0	8	2
- Housing Benefit Subsidy			Start Q4					
Council Tax	30	35.8	Draft Report Issued					
NDR	11	5.8	Draft Report Issued					

### Environment, Health & Wellbeing

'Keep place looking good'

'Keep me/my environment safe'

Health & Safety	12	1.6	Withdrawn					
Allotments	7	10.3	Completed	No previous report	Some improvement required	0	8	5
Bereavement Services	8	9.4	Completed	↔	Good	0	3	4
Licensing	7	0.2	Deferred to 14/15					
Fleet Management	7	9.6	Completed	No previous report	Good	0	3	1
Sustainability	8	1.0	Deferred to 14/15					

### Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

Museums Service	14	2.7	In progress					
Markets and Halls	7	6.5	Completed	No previous report	Good	0	2	4
Leisure Contract	7	11.2	Completed	No	Good	0	6	3

				previous report				
Car Parks	8	0.6	Start Q4					

**City Development**  
'Deliver good development'

Planning/Building Control	18	5.2	Completed		System under review so no assurance rating given	0	2	1
Land Charges	6	5.5	Completed	↔	Good	0	1	2

Contingency (special/frauds)	50	31.4
Work undertaken no time in plan e.g. follow-ups	0	9.6
<b>Total</b>	<b>639</b>	<b>455.4</b>